



2187

PATENT
ATTY. DOCKET NO. IBM/67DV1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Galbraith et al. Art Unit: 2187
Serial No. : 09/935,939 Examiner: Brian Peugh
Filed : August 23, 2001
For : ADVANCED READ CACHE MANAGEMENT

Assistant Commissioner of Patents
BOX: RESPONSE NO FEE
Washington, DC 20231

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JAN 31 2003
Technology Center 2100

AMENDMENT TRANSMITTAL

1. X Transmitted herewith is a Response to Office Action including Clean Version of Amendments and Version with Markings to Show Changes Made for this application.
2. Small Entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.
- Enclosed is a verified statement to establish Small Entity status.
- X Other than a Small Entity
3. The fee has been calculated as shown below:

(Col. 1)		(Col. 2)		(Col. 3)	SMALL ENTITY		LARGE ENTITY	
Claims Remaining After Amendment		Highest No. Previously Paid For		Extra	Present Rate	Fee	Present Rate	Fee
TOTAL	23	MINUS	23	0	x \$9	\$0	x \$18	\$0
INDEP.	3	MINUS	3	0	x \$42	\$0	x \$84	\$0
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM					+\$140	\$0	+\$280	\$0
TOTALS					TOTAL FEE	\$0	TOTAL FEE	\$0

 Additional fee for claims is required.

4. Attached is a check in the sum of \$_. Please charge my Deposit Account No. 23-3000 in the amount of \$_____.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postage prepaid in an envelope addressed to: Assistant Commissioner of Patents, Box: Response NO FEE, Washington, D.C. 20231 on: January 23, 2003

Thomas W. Humphrey
Reg. No. 34,353

5. The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply.

Complete (a) or (b) as applicable.

(a)____ Applicant petitions for an extension of time under 37 CFR 1.136 for the total number of months checked below:

Extension (months)	Fee for other than <u>small entity</u>	Fee for <u>small entity</u>
____ one month	\$ 110.00	\$ 55.00
____ two months	\$ 400.00	\$200.00
____ three months	\$ 920.00	\$460.00
____ four months	\$1,440.00	\$720.00
____ five months	\$1,960.00	\$980.00
Extension fee due with this request \$____.		

If an additional extension of time is required, please consider this a petition therefor. (Check and complete the next item, if applicable)

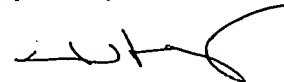
____ An extension for ____ months has already been secured and the fee paid thereof of \$____ is deducted from the total fee due for the total months of extension now requested.
Extension fee due with this request \$____.

OR

(b) x Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

X If any additional fee for claims or extension of time is required, charge Account No. 23-3000.

Respectfully submitted,



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